

# Application of Feature Extraction based on Convolutional Neural Networks to Image Classification

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## Abstract

In the past, CNN trains the model with back-propagation. The model is lack of explanation and has large quantity of computation, so a CNN without back-propagation (FF-CNN) is proposed recently. The model replaces the convolution part with feature extraction method based on PCA. But PCA inputs the training data in a vector form. For images, it loses the information between different order so that the performance is limited.

This study proposed a classification model called Pixel-Anchored CNN (PA-CNN) which modifies the FF-CNN and replaces PCA stage with the High-Order Principal Component Analysis (HOPCA). It reduces quantity of computation and the loading of memory and the performance slightly increases.

## Model Framework (PA-CNN)

**Input:**  $\{(X_1, y_1), (X_2, y_2), \dots, (X_M, y_M)\}$ : data ,  
 $N_{conv}$ : number of convolution layer,  
 $N_{fc}$ : number of FC layer,  
 $[k_1, k_2]$ : kernel size.

**Output:**  $\{\mathcal{A}_1, \mathcal{A}_2, \dots, \mathcal{A}_{N_{conv}}\}$ : anchors and bias,  
 $\{\mathcal{W}_1, \mathcal{W}_2, \dots, \mathcal{W}_{N_{fc}}\}$ : FC layer weight set.

**For**  $l = 1, 2, \dots, N_{conv}$  **do**  
**For**  $p = 1, 2, \dots$ , anchored pixel **do**  
 Get anchors and bias by HOSaab.

**End**  
 Reshape feature map to tensor.  
 Apply max-pooling.

**End**  
**For**  $l = 1, 2, \dots, N_{fc}$  **do**  
 Create pseudo label by k-means clustering.  
 Solve the least-square problem.

**End**

## Problem description

We modify two parts of convolution in original CNN. One is the convolution step. It do the affine transformation.

$$y_k = \sum_{i=1}^d a_{k,i} x_i = \mathbf{a}_k^T \mathbf{x} \quad \dots \dots (1)$$

Those filters in CNN are  $\mathbf{a}_k^T$  in Eq (1), we call them **anchor vectors**. We want to determine anchors directly rather than back-propagation. The other is activation function. Activation function in CNN do the job in resolving sign confusion problem. A feature extraction method called Saab can resolve these two problems. We propose a method called HOSaab by combining Saab and HOPCA and apply it on the PA-CNN framework.

### HOSaab (High-Order Saab)

Let  $\{X_1, X_2, \dots, X_M\}$  be a set of  $M$  tensor objects, where  $X_i \in \mathbb{R}^{I_1 \times I_2 \times \dots \times I_N}$ . HOSaab first separate data to DC subspace  $S_{DC}$  and AC subspace  $S_{AC}$ .  $S_{DC}$  is spanned by  $\mathbf{1}$

where  $\mathbf{1}$  is the tensor with all elements equal to one . **Anchor selection.** We conduct HOPCA on the AC component. The anchor vectors are selected as the dominant singular vectors. HOPCA is to find the orthogonal projection set  $\{\mathbf{U}^{(n)} \in \mathbb{R}^{I_n \times P_n} : P_n < I_n, n = 1, 2, \dots, N\}$  to maximize the total scatter  $\Psi = \sum_{m=1}^M \|\mathbf{y}_m - \bar{\mathbf{y}}\|_2$  where  $\bar{\mathbf{y}}$  is the mean tensor and  $\mathbf{y}_m \in \mathbb{R}^{P_1 \times P_2 \times \dots \times P_N}$  is defined by

$$\mathbf{y}_m = X_m \times_1 \mathbf{U}^{(1)} \times_2 \mathbf{U}^{(2)} \times \dots \times \mathbf{U}^{(N)}$$

**Bias selection.** Bias tensor is selected as the  $\mathcal{B} = b\mathbf{1}$  where  $b = \max_m \|X_m\|_F$ , which ensures that the tensor version affine transformation is non-negative. Namely,

$$\mathbf{y}_m = X_m \times_1 \mathbf{U}^{(1)} \times_2 \mathbf{U}^{(2)} \times \dots \times \mathbf{U}^{(N)} + \mathcal{B} \geq 0$$

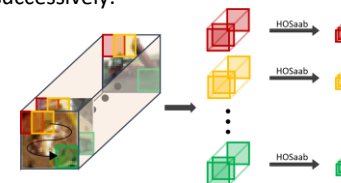
### Fully-connected (FC) Layer

Fully-connected layer in original CNN is treated as least-square problems with pseudo label. it can capture the diversity in the same class.



### Pixel-Anchored CNN (PA-CNN)

The First part do the convolution on images in a specific kernel size to get patches. Then apply HOSaab on the patches obtained by the same pixels successively.



Second part, construct the least-square problem with the reduced features.

## Results

### CIFAR10

The CIFAR10 database contains 10 classes with 5000 images per class.

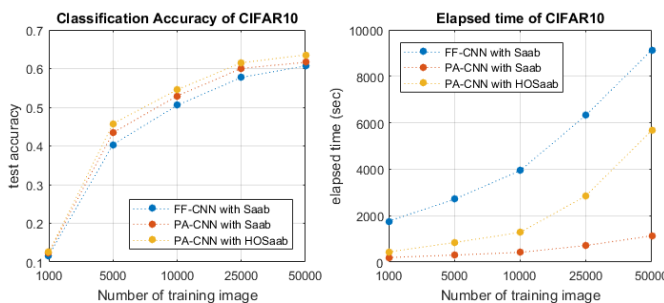


Table 1: classification accuracy of CIFAR10

train size	1000	5000	10000	25000	50000
FF-CNN with Saab	11.71	40.36	50.50	57.71	60.69
PA-CNN with Saab	12.35	43.43	52.92	60.05	61.63
PA-CNN with HOSaab	12.48	45.76	54.54	61.51	63.58

Table 2: elapsed time of CIFAR10

train size	1000	5000	10000	25000	50000
FF-CNN with Saab	1761.81	2706.42	3942.59	6322.54	9139.03
PA-CNN with Saab	198.56	303.33	419.46	715.15	1132.84
PA-CNN with HOSaab	436.39	842.83	1281.33	2856.55	5673.77

## Conclusions

This study explore the classification accuracy and the computation time of models. The experiment shows that Pixel-anchored CNN reduce the computation time both on Saab and HOSaab. The accuracy of PA-CNN with HOSaab slightly higher than Saab. Explain that HOPCA captures more important information than PCA. Although HOSaab has more time-consuming than Saab, we can apply PA-CNN to reduce the training time instead of FF-CNN.

## References

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