

Incompleteness and Computability

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Introduction

Gödel's Incompleteness Theorems are famous theorems in Mathematical Logic. In this article, I present a proof of Gödel's First Incompleteness Theorems based on concepts from Computability Theory. The result suggests that incompleteness happens not only in First Order Peano Arithmetic but also in any Formal Theory that has the capability to reason about programs.

Definitions

• A **Formal Theory** T consists of :

- [1] A finite set of symbol Σ_T
- [2] The set of finite sequences of Σ_T , (denoted by Σ_T^*), is called *strings in T*
- [3] Elements in a decidable subset of Σ_T^* are called the **formulas** in T
- [4] A *formula* x may be considered to be a **proof** of another *formula* y ("*is_a_proof_of*" is a binary relation on *formulas*)
- [5] A formula f is **provable** if there exists a proof of f

• Given a *Formal Theory* T , **programs** in T are the computable functions with *input* and *output* in Σ_T^* . We can also encode a program P as some *string* $[P]_T$ in T , such that another program U can simulate the behaviour of P by given $[P]_T$ as input.

• For any Formal Theory T , a *program* V is said to be a **Proof Verifier** for T if $V(\phi, p)$ decides whether p is a proof of ϕ in T .

• We say a Formal Theory T can **reason about programs** if :

- [1] T has a negation sign " \neg "
- [2] There exists some computable mapping H such that if a program P halts on input x with output y , then
 - $H([P]_T, x, y)$ is a provable formula .
 - $\neg H([P]_T, x, z)$ is a provable formula for all $z \neq y$
- Given a Formal Theory T that reasons about programs:
 - We say that T is **consistent** if there is no formula ϕ in T such that both ϕ and $\neg\phi$ are provable.
 - We say that T is **complete** if for any formula ϕ in T , either ϕ is provable or $\neg\phi$ is provable.

Theorem

Theorem 1. Let T be a formal theory with a proof verifier V , which can reason about programs, then T cannot be both complete and consistent.

Proof. Consider the following program M in T , whose input is the encoding $[X]$ of another program X (if the input is not an encoding, we don't care what M does):

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Algorithm 1 Program  $M$  ( $[X]_T$ )
Require: Proof Verifier  $V$  of  $T$ 
for all formula  $p$  in  $T$  do
    if  $V(H([x], [x], 0), p)$  then ▷  $p$  proves that  $X([X])$  returns 0
        return 1
    else if  $V(\neg H([X], [X], 0), p)$  then ▷  $p$  proves that  $X[X]$  doesn't return 0
        return 0
    end if
end for
    
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Now, Assume T is consistent. Consider the result of $M([M])$. There are 3 cases :

- [1] $H([M], [M], 0)$ is provable in T , and $\neg H([M], [M], 0)$ is not provable in T .
- [2] $\neg H([M], [M], 0)$ is provable in T , and $H([M], [M], 0)$ is not provable in T .
- [3] Both $H([M], [M], 0)$ and $\neg H([M], [M], 0)$ are not provable in T

By the definition of M , in case 1, we have $M([M])$ returns 1. Since T reasons about programs, $H([M], [M], 1)$ is provable in T , which contradicts the assumption that T is consistent. Similarly, in case 2, we have $M([M])$ returns 0. Since T reasons about programs, $H([M], [M], 0)$ is provable in T , which contradicts the assumption that T is consistent. Hence, only case 3 can happen, which by definition means T is incomplete. □

Remark

- [1] **Relation to Gödel's Original Statement** : The proof presented here is actually more general than Gödel's original statement, in the sense that PA is a special case of our *formal theory*. In fact, Gödel proved a lemma in his original proof (often referred to as β -lemma), which essentially proves that PA can reason about programs.
- [2] **Interpretation About the Result** This proof shows that Incompleteness not only occurs in PA , but also Formal Theories that have the ability to reason about program.

Reference

[1] A computability-base-proof of 1'st incompleteness Theorem. <https://www.scottaaronson.com/blog/?p=710> [2] Computability based Proof of 2'nd incompleteness theorem. <http://www.ams.org/notices/201011/rtx101101454p.pdf>